

VIA HAND DELIVERY  
PATENT  
70404.13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re application of: Naoki MAKITA Serial No.: Currently unknown Filing Date: Concurrently herewith For: SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME</p>	
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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits copies of the prior art listed on the attached Information Disclosure Statement (Form PTO-1449), including an English abstract. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,



Attorneys for Applicant(s)

Joseph R. Keating  
Registration No. 37,368

Christopher A. Bennett  
Registration No. 46,710

Date: December 23, 2003

KEATING & BENNETT LLP  
10400 Eaton Place, Suite 312  
Fairfax, VA 22030  
Telephone: (703) 385-5200  
Facsimile: (703) 385-5080

Substitute for form 1449/PTO

*Complete if Known***INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **1**

Application Number

Filing Date

First Named Inventor

**Naoki MAKITA**

Art Unit

Examiner Name

Attorney Docket Number

**70404.13****U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	<b>1</b>	<b>5,879,974</b>	<b>03/1999</b>	<b>Yamazaki</b>	
	<b>2</b>	<b>5,915,174</b>	<b>06/1999</b>	<b>Yamazaki et al.</b>	
	<b>3</b>	<b>6,251,712</b>	<b>06/2001</b>	<b>Tanaka et al.</b>	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)				
	<b>4</b>	<b>JP 10-270363</b>	<b>10/1998</b>	<b>JAPAN</b>		<b>X</b>

Examiner  
SignatureDate  
Considered

\*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.